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#### REMARKS

# AUG 0 7 2007

## I. <u>Interview Summary – August 2, 2007</u>

On August 2, 2007, in connection with Application No. 09/923,704, Supervisory Examiner Andrew Fischer and Examiner Pierre Elisca called the undersigned and a telephonic interview was conducted.

Examiner Fischer stated that the elected independent claims may be allowed. However, he requested that the Applicant provide information regarding allowance of additional claims. He stated that Examiners Elisca and Fischer will then review the paper and decide whether they will allow claims in addition to the claims that were elected in the Response to the Telephone Restriction Requirement of June 26, 2007.

Applicant thanks Examiners Fischer and Elisca for taking the time to conduct the interview.

## II. <u>Interview Summary - August 6, 2007</u>

On August 6, 2007, in connection with Application No. 09/923,704, the undersigned conducted a telephonic interview with Supervisory Examiner Andrew Fischer.

Examiner Fischer verified that Examiner Elisca plans to allow the claims elected in the Response to Telephone Restriction Requirement of June 26, 2007. The undersigned asked Examiner Fischer whether a paper that identified groups of claims which recite similar claims elements would satisfy the request made by Examiner Fischer in the interview of August 2, 2007. Examiner Fischer agreed that such a paper would be helpful and noted that if Examiner Elisca desires further information to assist him in identifying additional claims for allowance that he will contact the undersigned.

Examiner Fischer also informed the Applicant that because a telephone restriction requirement was made after the Office Action of February 8, 2007, that the Response to Telephone Restriction Requirement of June 26, 2007 constituted the Applicant's response. As such, no response is currently required by the Applicant to keep the application pending.

Applicant thanks Examiners Fischer for taking the time to conduct the interview.

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## III. Response to the Examiners' Request

AUG U 7 2007

In response to the above described request, Applicant provides the following based on the claims that were being prosecuted immediately before the Response to Telephone Restriction Requirement of June 26, 2007. Applicant notes that the independent claims which were elected in that Response were 1, 114, 178, and 304. Each of these claims is listed below in one of sections A, B, C, and D, along with two claims that include similar elements.

### A. Independent Claims 1, 24, and 438

Each of claims 1, 24, and 438 is related to information management and includes similar elements, such as, for example:

- (a) "a plurality of workstations adapted for connection to a computer network, each workstation having a memory;" (1, 24, and 438)
- (b) "a data repository arranged to receive date from each of said workstations," (1 and 24) or
- "storage means for storing data received from each of said workstations;" (438)
- (c) "an application stored in said memory of each workstation for transmitting outbound data to said computer network and receiving inbound data from said computer network," (1 and 24)

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- "application means, stored in said memory of each workstation, for transmitting outbound data to said computer network and receiving inbound data from said computer network;" (438)
- (d) "policy data containing rules defining relevant commercial data which is to be stored in said data repository," (1 and 24)

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- "policy storage means for providing policy data containing rules defining relevant commercial data which is to be stored in said storage means;" (438) and
- (e) "an analyser, said analyser being operable in conjunction with said policy data to monitor at least one of said outbound data and said inbound data, to identify in at least one of said outbound data and said inbound data, relevant commercial data that is to be stored in said data repository in accordance with said rules in said policy data," (1)

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"analysing at least one of said outbound data and said inbound data, with reference to said policy data, to identify in at least one of said outbound data and said inbound data, relevant commercial data that is to be stored in said data repository in accordance with said rules in said policy data; and storing said relevant commercial data in said data repository," (24)

or

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AUG 0 7 2007

Docket No.: 112634-120-US1

"analyzing means, operable in conjunction with said policy means, for monitoring at least one of said outbound data and said inbound data, identifying in at least one of said outbound data and said inbound data, relevant commercial data that is to be stored in said storage means in accordance with said rules in said policy means." (438)

#### B. Independent claims 114, 136, and 476

Each of independent claims 114, 136, and 476 is related to information management and includes, for example:

- (a) "one or more workstations adapted for connection to a computer network, each workstation having a memory;" (114, 136, and 476)
- (b) "an application stored in said memory of each workstation for transmitting outbound data to said computer network and receiving inbound data from said computer network," (114 and 136)

or

"application means, stored in said memory of each workstation, for transmitting outbound data to said computer network and receiving inbound data from said computer network;" (476)

(c) "policy data containing rules specifying an appropriate encryption strength for outbound data, the encryption strength depending on the content of the data," (114 and 136)

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"policy storage means, for storing policy data containing rules specifying an appropriate encryption strength for outbound data, the encryption strength depending on the content of the data;" (476) and

(d) "an analyser, said analyser being operable in conjunction with said policy data to monitor said outbound data and to determine, in accordance with said rules in said policy data, an appropriate encryption strength for the outbound data; wherein said analyser controls transmission of said outbound data from said application in dependence upon said determination of an appropriate encryption strength," (114)

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"analysing said outbound data to determine, in accordance with said rules in said policy data, an appropriate encryption strength for the outbound data; controlling transmission of said outbound data from said application in dependence upon the determination of an appropriate encryption strength in said analysing step," (136)

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"analyzing means, operable in conjunction with said policy data, for monitoring said outbound data to determine, in accordance with said rules in said policy data, an appropriate encryption strength for the outbound data; wherein said analyzing means controls transmission of said outbound data from said application means in dependence upon said determination of an appropriate encryption strength." (476)



## C. Independent claims 178, 220, and 498

Each of independent claims 178, 220, and 498 is related to information management and includes, for example:

- (a) "a plurality of client workstations adapted for connection to a computer network, each workstation having a memory;" (178, 220, and 498)
- (b) "a data repository arranged to receive data from each of said client workstations; an application stored in said memory of each workstation for transmitting outbound data to said computer network and receiving inbound data from said computer network," (178 and 220)

or

- "storage means for storing data received from each of said client workstations; application means, stored in said memory of each workstation, for transmitting outbound data to said computer network and receiving inbound data from said computer network;" (498)
- (c) "policy data defining rules for the recording of data that may comprise part of a commercial transaction conducted between a client workstation and a third party across said computer network," (178 and 220)

or

- "policy storage means for storing policy data defining rules for the recording of data that may comprise part of a commercial transaction conducted between a client workstation and a third party across said computer network;" (498) and
- (d) "an analyser, said analyser being operable in conjunction with said policy data to analyse at least one of said outbound data and said inbound data, to identify the existence of a commercial transaction occurring between a client workstation and a third party by analysing said outbound or said inbound data, and to cause transaction data that is all or part of said outbound data or said inbound data related to an identified commercial transaction to be stored in said data repository," (178)

or

"analysing, at least one of said outbound data and said inbound data to identify, with reference to said rules of said policy data, the existence of a commercial transaction occurring between a client workstation and a third party; and storing transaction data that is all or part of said outbound data or said inbound data related to an identified commercial transaction in said data repository," (220)

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"analyzing means, operable in conjunction with said policy data, for analyzing at least one of said outbound data and said inbound data, to identify the existence of a commercial transaction occurring between a client workstation and a third party, and for causing transaction data that is all or part of said outbound data or said inbound data related to an identified commercial transaction to be stored in said storage means." (498)

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AUG 0 7 2007

## D. Independent claims 304, 329, and 540

Each of independent claims 304, 329, and 540 is related to information management and includes, for example:

- (a) "one or more workstations adapted for connection to a computer network, each workstation having a memory;" (304, 329, and 540)
- (b) "an application stored in said memory of each workstation for transmitting outbound data to said computer network and receiving inbound data from said computer network," (304 and 329)

or

"application means, stored in said memory of each workstation, for transmitting outbound data to said computer network and receiving inbound data from said computer network;" (540)

(c) "policy data, containing rules for identifying in outbound data transaction data that is part of a commercial transaction, and rules for the transmission of transaction data so identified," (304 and 329)

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"policy storage means for storing policy data, containing rules for identifying in outbound data transaction data that is part of a commercial transaction, and rules for the transmission of transaction data so identified;" (540) and

(d) "an analyser, said analyser being operable in conjunction with said policy data to identify in at least said outbound data, transaction data that may be part of a transaction, and to make a determination in accordance with said rules of said policy data as to whether the transmission of said transaction data would satisfy said rules; and wherein the transmission of said transaction data by said application is dependent on said determination made by said analyzer," (304)

or

"analysing at least said outbound data to identify, with reference to said rule of said policy data, transaction data that may be part of a transaction; determining, in accordance with said rules of said policy data, whether the transmission of said transaction data would satisfy said rules; controlling transmission of said transaction data by said application in dependence on the determination made in said determining step," (329)

or

"analyzer means, operable in conjunction with said policy data, for identifying in at least said outbound data, transaction data that may be part of a transaction, and for determining, in accordance with said rules of said policy data, whether the transmission of said transaction data would satisfy said rules; and wherein the transmission of said transaction data by said application means is dependent on said determination made by said analyzing means." (540)

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AUG 0 7 2007

## IV. Conclusion

Applicant considers the Application to be in condition for allowance and respectfully requests the same.

Please do not hesitate to contact the undersigned at the below number with any questions or comments.

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#### AUTHORIZATION

The Commissioner is hereby authorized to charge any additional fees, which may be required for this Amendment, or credit any overpayment to Deposit Account No. 08-0219

In the Response to Telephone Restriction Requirement of June 26, 2007, Applicants requested that an extension of time be granted if required. To be sure that the Response to Telephone Restriction Requirement was timely filed, Applicant includes a Petition for Extension of time of two months with this paper.

In the event that an Extension of Time is required, or which may be required in addition to that requested in a petition for an Extension of Time, the Commissioner is requested to grant a petition for that Extension of Time which is required to make this response timely and is hereby authorized to charge any fee for such an Extension of Time or credit any overpayment for an Extension of Time to Deposit Account No. 08-0219.

Respectfully submitted,

August 7, 2007

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